0 P = 4023 0CT 3° 1 2005 2014

PATENT Atty. Dkt. MRKS/0099

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*๛๛๛๛๛๛๛๛๛๛* 

In re Application of:

Andrew Micahel Duggan

Serial No.: 10/659,124

Confirmation No.: 3542

Filed:

September 10, 2003

For:

**TUBING EXPANSION** 

TOOL

MAIL STOP AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Group Art Unit: 3672

Examiner:

Stephenson, Daniel P.

Customer No.: 36735

CERTIFICATE OF MAILING

37 CFR 1.8

I hereby certify that this correspondence is being deposited with sufficient postage as first class mail in an envelope addressed to: Compassioner for Jatents, P.O. Box 1450, Alexandria, VA 22313-1450

William B. Patterson Typed Name

34,102

Signature (713)623-4844

Reg. No. if applicable Telephone Number

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The Applicant, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While the information submitted in this Supplemental Information Disclosure Statement may be material pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is prior art for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97, this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other possibly material information as defined under 37 CFR § 1.56(a) exists.

The patents and/or publications submitted herewith are set forth on the attached Form PTO-1449.

A: :

If the sum of \$180.00 is due under 37 CFR § 1.17(p) pursuant to § 1.97, the Commissioner is hereby authorized to charge this fee, and any other fee necessary to make this submission timely, to the Deposit Account No. 20-0782/MRKS/0099/WBP.

Respectfully submitted,

William B. Patterson

Registration No. 34,102

PATTERSON & SHERIDAN, L.L.P.

3040 Post Oak Blvd. Suite 1500

Houston, TX 77056

Telephone: (713) 623-4844 Facsimile: (713) 623-4846

Attorney for Applicant

PTO/SB/08a (07-05) Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449A/PTO Complete if Known Application Number 10/659,124 INFORMATION DISCLOSURE Filing Date September 10, 2003 STATEMENT BY APPLICANT Andrew Michael Duggan First Named Inventor Art Unit

Examiner Name

Daniel P. Stephenson Sheet of | 3 Attorney Docket Number MRKS/0099

(Use as many sheets as necessary)

			U.S. PATENT	DOCUMENTS	
Examiner	Cite	Document Number Publication Date		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevan
	No.1	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear
		US- 6,325,148	12-04-2001	Trahan, et al.	
		US- 6,098,717	08-08-2000	Bailey, et al.	
		US- 6,021,850	02-08-2000	Wood, et al.	
		US- 5,901,787	05-11-1999	Boyle	
		US- 5,685,369	11-11-1997	Ellis, et al.	
		US- 5,560,426	10-01-1996	Trahan, et al.	
		US- 5,472,057	12-05-1995	Winfree	
		US- 5,435,400	07-25-1995	Smith	
		US- 5,409,059	04-25-1995	McHardy	
		US- 5,271,472	12-21-1993	Leturno	
		US- 4,848,469	07-18-1989	Baugh, et al.	
		US- 4,697,640	10-06-1987	Szarka	
		US- 4,588,030	05-13-1986	Blizzard	
		US- 4,531,581	07-30-1985	Pringle, et al.	
		US- 4,429,620	02-07-1984	Burkhardt, et al.	
-		US- 4,324,407	04-13-1982	Upham, et al.	
		US- 4,288,082	09-08-1981	Setterberg, Jr.	
		US- 4,159,564	07-03-1979	Cooper, Jr.	
		US- 4,127,168	11-28-1978	Hanson, et al.	
		US- 4,069,573	01-24-1978	Rogers, Jr., et al.	

	FOREIGN PA	TENT DOCUM	MENTS		
	Foreign Patent Document		- 1110	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Cite No. <sup>1</sup>	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		T⁵
	EP 0 961 007 A2	12-01-1999	Gano, et al.		
	GB 2 320 734	07-01-1998	Coronado, et al.		
	WO 99/23354	05-14-1999	Metcalfe		
	WO 99/18328	04-15-1999	Bailey, et al.		
	WO 93/24728	12-09-1993	Simpson, et al.		
 <u> </u>					
 <del></del>					
l					

Examiner	Date	i
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449A/PTO Complete if Known Application Number 10/659,124 INFORMATION DISCLOSURE Filing Date September 10, 2003 STATEMENT BY APPLICANT First Named Inventor Andrew Michael Duggan Art Unit (Use as many sheets as necessary) Examiner Name Daniel P. Stephenson Sheet of | 3 Attorney Docket Number MRKS/0099

			U.S. PATENT	DUCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevan
Initials * No.1		Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear
		US- 3,911,707	10-14-1975	Minakov, et al.	
		US- 3,818,734	06-25-1974	Bateman	
		US- 3,467,180	09-16-1969	F. Pensotti	
		US- 3,195,646	07-20-1965	Brown	
		US- 3,087,546	04-30-1963	B. J. Wooley	
		US- 2,898,971	09-11-1959	G. A. Hempel	
		US- 2,663,073	12-22-1953	F. J. Bieber, et al.	
		US- 2,627,891	02-10-1953	P. B. Clark	
		US- 2,499,630	03-07-1950	P. B. Clark	
		US- 2,383,214	08-21-1945	Prout	
		US- 1,930,825	10-17-1933	E. F. Raymond	
		US- 1,597,212	08-24-1926	A. F. Spengler	
		US- 1,569,729	01-12-1926	O. Duda	
		US- 1,561,418	11-10-1925	O. Duda	
		US- 1,545,039	07-07-1925	H. E. Deavers	
		US- 1,324,303	12-09-1919	R. E. Carmichael	
		US- 761,518	05-31-1904	H. G. Lykken	
		US- 6,457,532	10-01-2002	Abercrombie	
		US- 09/469,643		Metcalfe, et al.	
		US- 6,543,816	04-08-2003	Noel	

	FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,		
Initials*	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>6</sup> ( <i>if known</i> )	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
	<u> </u>						
		<u> </u>					

Examiner	Date
Signature	Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitut	te for form 1449	PA/PTO			Complete if Known	
				Application Number	10/659,124	
INFO	DRMATI	ON DIS	CLOSURE	Filing Date	September 10, 2003	
STA	TEMEN'	T BY A	PPLICANT	First Named Inventor	Andrew Michael Duggan	
				Art Unit	3672	
	(Use as ma	ny sheets as	necessary)	Examiner Name	Daniel P. Stephenson	
Sheet	3	of	3	Attorney Docket Number	MRKS/0099	

			U.S. PATENT	OCUMENTS	
Examiner Initials *	Cite No.1	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
-		US- 6,446,323	09-10-2002	Metcalfe, et al.	Figures Appear
	<del>                                     </del>	US- 6,702,029	03-09-2004	Metcalfe, et al.	
		US- 6,425,444	07-30-2002	Metcalfe, et al.	
		US- 6,527,049	03-04-2003	Metcalfe, et al.	
		US- 6,457,533	10-02-2002	Metcalfe	
		US- 2002/0163192	11-07-2002	Coulon, et al.	
	<u> </u>	US-			
	L	US-			
	ļ	US-			
	<u> </u>	US-			
	ļ	US-			
		US-			
		US-			
		US-			
	ļ	US-			
		US-			
		US-			
	<del> </del>	US-		<del> </del>	
	1	US-			

	FOREIGN PATENT DOCUMENTS					
Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,	
Initials*	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
				····		
-						
		<u> </u>				

Examiner Signature	Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.